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**SC 7 / PT 4**

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# **WG 3 / TG 3-Meeting in Berlin**

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### Handover Documents by WG 3 /TG 3 to PT 4

1. SC 7/EG 7´s final report 2015
2. proposal for new structure of section ´pile foundation´
3. WG 3/TG 3´s proposal for a revised section ´pile foundation´ (working document)
4. WG 3/TG 3´s comments to systematic review of EC 7-1
5. compilation of NDPs for pile design
6. Summary of Warsaw-meeting of WG 3/TG3
7. Response of WG 3/TG 3 to PT 2´s proposal

## Handover Documents by WG 3 /TG 3 to PT 4

### WG 3/TG 3's proposal for a revised section 'pile foundation'

TC 250/ SC 7/ EG 7: EC7-1, Section 7 'Pile Foundations'

Doc EG7-D106 Rev. 7

Which clauses should remain / be deleted / are missing – and why?

... improving ease of use ...

<p><i>etc. Base grouting is a routine construction procedure (e.g. in Poland 1000 to 2000 piles a year – nobody counts them now!). It has proven as an effective, economic technique and assuring high safety of a foundation.</i></p> <p><i>ADD TO THE LIST: –piled raft foundations of heavy loaded structures. (Not all!)</i></p> <p><i>A (1) and A (2) <b>Structural measures</b> ?? = structures (look at 2.1 (11))</i></p>	
<p><b>2 Limit states</b></p>	<p><b>2 Limit states</b></p>
<p><i>[existing Part 1, § 7.2]</i></p> <p>(1)P The following limit states in accordance to 2.4.7 and 2.4.8 shall be considered and an appropriate list shall be compiled:</p> <ul style="list-style-type: none"> <li>— loss of overall stability;</li> <li>— bearing resistance failure of the pile foundation;</li> <li>— uplift or insufficient tensile resistance of the pile foundation;</li> <li>— failure in the ground due to transverse loading of the pile foundation;</li> <li>— structural failure of the pile in compression, tension, bending, buckling or shear;</li> <li>— combined failure in the ground and in the pile foundation;</li> <li>— combined failure in the ground and in the structure;</li> <li>— excessive settlement;</li> <li>— excessive heave;</li> </ul>	<p>(1)P The limit states in accordance to 2.4.7 and 2.4.8 shall be considered.</p>

## Handover Documents by WG 3 /TG 3 to PT 4

### WG 3/TG 3's proposal for a revised section 'pile foundation'

TC 250/ SC 7/ EG 7: EC7-1, Section 7 'Pile Foundations'

Doc EG7-D106 Rev. 7

3.3.2 Downdrag (negative skin friction)	3.3.2 Downdrag (negative skin friction)
<p><b>[existing Part § 7.3.2.2]</b></p> <p>(1)P If ultimate limit state design calculations are carried out with the downdrag load as an action, its value shall be the maximum, which could be generated by the downward movement of the ground relative to the pile.</p> <p>(2) Calculation of maximum downdrag loads should take account of the shear resistance at the interface between the soil and the pile shaft and downward movement of the ground due to self-weight compression and any surface load around the pile.</p> <p><b>Comment: Basic knowledge.</b></p> <p>(3) An upper bound to the downdrag load on a group of piles may be calculated from the weight of the surcharge causing the movement and taking into account any changes in ground-water pressure due to ground-water lowering, consolidation or pile driving.</p> <p>(4) Where settlement of the ground after pile installation is expected to be small, an economic design may be obtained by treating the settlement of the ground as the action and carrying out an interaction analysis.</p> <p>(5)P The design value of the settlement of the ground shall be derived taking account of material weight densities and compressibility in accordance with 2.4.3.</p> <p><b>Comment: Is regulated already in Section 2.</b></p> <p>(6) Interaction calculations should take account of the displacement of the pile relative to the surrounding moving ground, the shear resistance of the soil along the shaft of the pile, the weight of the soil and the</p>	<p>(1) Negative skin friction has to be regarded as a permanent action <math>F_n</math>, originating from relative axial movement between the ground and the pile, when the ground settles more than the pile.</p> <p>(2) The pile continues to settle until the actions from negative skin friction <math>\tau_n</math>, together with the actions imposed on the pile by the superstructure, and the pile resistances resulting from the pile end bearing capacity and supporting skin friction <math>q_s</math>, are in equilibrium.</p> <p>(3) Negative skin friction should be taken into account for the justification at SLS and ULS.</p> <p>pile and soil settlement      acting forces on pile</p> <p><b>Figure 1</b> Evaluation of negative skin friction for ultimate limit state (ULS) and serviceability limit state (SLS)</p>

### Handover Documents by WG 3 /TG 3 to PT 4

#### Identification of issues improved guidance should be provided by EC 7-3

- Structural design of piles
- Correlation factors approach
- Specification of criteria for identification of ultimate pile resistance from static pile load tests
- Numerical simulation of pile foundations
- Handling of situations influenced by stiffness
- ...

### Handover Documents by WG 3 /TG 3 to PT 4

#### Identification of issues so far no guideline provided by existing EC 7-1

- downdrag / negative skin friction (proposal elaborated)
- ground heave
- transversally loaded piles
- design of pile groups (axial/lateral)
- design of piled rafts including cases where piles are used as ‘settlement-reducer’
- pile resistance and design for cyclic, dynamic and impact loads
- seismic design of pile foundations
- serviceability proof of pile foundations
- structural design of piles for lateral loading and buckling
- ...

# WG 3 / TG 3 „Pile Foundations“

## Handover Documents by WG 3 /TG 3 to PT 4

### WG 3/TG 3's comments to systematic review of EC 7-1

SC7 / WG3 /TG3-Piled Foundations  
Template for TG member comments

EN 1997-1 Systematic review 2014	Date: 31 / 10 / 2015	Reviewer: <b>Compilation of all national comments</b> received by 2016-04-24 [CM]
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SC 7 ref	MB/NC 1 (e.g. 17)	Line num ber	Claus e/ Subcl ause (e.g. 3.1)	Paragr aph/ Figure / Table/ Table/ (e.g. Table 1)	Type of com ment z	Comments	Proposed change	Observ ations of the secreta riat	Reviewer's decision: C: confirm D: deny													Reviewer's written comment  [& name]
									Austria (Fross)	Cyprus (Papadopoulos)	Finland (Uptinen)	France (Burton)	Germany (Henke)	Germany (Piling-WG)	Italy (Gobbi)	Italy (Mandolini)	Macedonia (Josifovski)	Poland (Klosinski)	Portugal (Pinto)	Sweden (G. Axelsson)	UK (Raison CAR)	

15	FR8				ge	More details should be given for the analysis of geotechnical structures submitted to cyclic loadings.		prA		C	C	C	C	C	C	C	C	C	C	C	C	C	MACEDONIA: Maybe a basic formulation could be proposed in the Annex [Josif Josifovski]
51	GB253			National annex	Ge	Use of the model factor increases the pile length considerably	Review the effect of the combination of all the factors of safety which have to be applied and perhaps revisit the value of the model factor.	WG3-TG3	A		?		C	C	C			C	C		C		GERMANY(SH): [S. Henke]: Use of model factors is mainly for cases where the pile capacity is evaluated based on soil parameters/experience. Furthermore, when dynamic pile testing with wave propagation measurement is used, the model factor may also be <1.0 such that this "shortens" the piles. Nonetheless, this should not be rejected at this moment but a thorough review of all factors of safety together with model factors should be done. Especially, the case of dynamic pile testing in the present form (in Germany) leads to very high safety factors involving all model factors etc. even if a high number of tests is done. Probably this case is worth of a further investigation.  FINLAND [Uotinen] Depends on what's the philosophy behind the model factor. Revisiting the model factor value should rest on relation between probabilistic behaviour of reality i.e. relation between designed length and real

1 MB = Member body / NC = National Committee (enter the ISO 3166 two-letter country code, e.g. CN for China; comments from the ISO/CS editing unit are identified by \*\*)  
2 Type of comment: ge = general te = technical ed = editorial



## Handover Documents by WG 3 /TG 3 to PT 4

- **Compilation of NDPs nationally used for pile design**

Piles		Rev 2 01.11.2015											
Country	NSB		Table A.6 Resistance factors - driven			Table A.7 Resistance factors - bored			Table A.8 Resistance factors - CP				
EN 1997-1 Factor Set	Ultimate Load Test Proof Load Test		$\gamma_b$	$\gamma_s$	$\gamma_t$	$\gamma_{st}$	$\gamma_b$	$\gamma_s$	$\gamma_t$	$\gamma_{st}$	$\gamma_b$	$\gamma_s$	$\gamma_t$
R1			1,0	1,0	1,0	1,25	1,25	1,0	1,15	1,25	1,1	1,0	1,1
R2			1,1	1,1	1,1	1,15	1,1	1,1	1,15	1,1	1,1	1,1	1,1
R3			1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0
R4			1,3	1,3	1,3	1,6	1,6	1,3	1,5	1,6	1,45	1,3	1,4
GBR UK Chris Reason Confirmed 09 Jun 15	BSI		1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0
		N	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0
		Y	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0
		N	1,6	1,5	1,6	2,0	2,0	1,6	2,0	2,2	2,0	1,6	2,0
		N	1,4	1,3	1,4	1,7	1,7	1,4	1,7	1,7	1,7	1,4	1,7
		Y	1,6	1,5	1,6	2,0	2,0	1,6	2,0	2,2	2,0	1,6	2,0
		Y	1,4	1,3	1,4	1,7	1,7	1,4	1,7	1,7	1,7	1,4	1,7
DEU Germany Christian Moormann E-mail 09 Jun 15	DIN		1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1
Experience			1,4	1,4	1,4	1,5	1,4	1,4	1,4	1,5	1,4	1,4	1,4
FRA France Sebastien Burlon E-mail 08 Jun 15	AFNOR		1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1
ELU fond			1,0	1,0	1,0	1,05	1,0	1,0	1,0	1,05	1,0	1,0	1,0
ELU acc													
POL Poland Boleslaw Kiosinski E-mail 08 Jun 15	PKN		1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1
BEU Belgium Monika De Vos E-mail 08 Jun 15	NBN		axially loaded piles : only DA1-1 (revision not yet published) ; $\gamma_{st} = \gamma_s$ (different installation factor for tension)										
			1,0	1,0	1,0	1,0	1,2	1,0	1,1	1,0	1,1	1,0	1,05
			1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0
			1,35	1,35	1,35	1,35	1,65	1,35	1,50	1,35	1,50	1,35	1,43
			1,35	1,35	1,35	1,35	1,35	1,35	1,35	1,35	1,35	1,35	1,35
			Table A.8bis Resistance factors - Screw										
											1,07	1,00	1,00
											1,00	1,00	1,00
											1,45	1,35	1,40
											1,35	1,35	1,35
CYP Cyprus Panicos Papadopoulos E-mail 08 Jun 15	CYS		1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1
DNK Denmark Ole Møller E-mail 12 Jun 15	DS		1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3
LC2 (6.10b) GEO/STR			1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3	1,3
LC3 (6.10a) GEO/STR			1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>	1,3 K <sub>R1</sub>
LC4 (6.10b) GEO/STR			1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>	1,3 K <sub>R2</sub>
LC5 (6.10a) STR *			1,0*	1,0*	1,0*	1,0*	1,0*	1,0*	1,0*	1,0*	1,0*	1,0*	1,0*
			* in LCS (STR) the partial coefficients on the structural materials are increased (multiplied) by a factor $\gamma_b = 1,2 K_{R1}$										
			Thus the geotechnical resistance is not relevant (or decisive) in LC5										
SWE Sweden Gary Axelsson E-mail 08 Jun 15	SIS		1,2	1,2	1,2	1,3	1,3	1,3	1,3	1,4	1,3	1,3	1,3
ITA Italy Alessandro Mandolini E-mail 08 Jun 15	UNI		1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0
R1			1,45	1,45	1,45	1,6	1,7	1,45	1,6	1,6	1,6	1,45	1,55
R2			1,15	1,15	1,15	1,25	1,35	1,15	1,3	1,25	1,3	1,15	1,25
R3													
FIN Finland buildings Finland bridges Veli-Matti Uotinen E-mail 04 Jun 15	SFS		1,2	1,2	1,2	1,35/1,5	1,2	1,2	1,2	1,35/1,5	1,2	1,2	1,2
ESP Spain Jose Estaire E-mail 12 Jun 15	AENOR		1,25	1,05	1,15	1,05	1,35	1,10	1,25	1,10	1,45	1,15	1,30
MKD Macedonia Josif Josifovski E-mail 15 Jun 15	ISRM		no change			no change			no change				
NLD Netherlands Mandy Korff E-mail 26 Jun 15	NEN		1,4	1,4	1,4		1,8	1,8	1,8		1,8	1,8	1,8
R3 - no inv. / use of inst records			1,2	1,2	1,2	1,35	1,2	1,2	1,2	1,35	1,2	1,2	1,2
R3 - based on tests/CPT			1,15	1,15	1,15	1,25	1,15	1,15	1,15	1,25	1,15	1,15	1,15
R3 - based on pile load test on specific piles													
AUT Austria Schremser Roman E-mail 02 Jul 15	ON		1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1
NOR Norway	SN		1,1	1,1	1,1	1,2	1,3	1,3	1,3	1,4	1,2	1,2	1,2
EST Estonia	EVS		1,1	1,1	1,1	1,2	1,3	1,3	1,3	1,35	1,25	1,15	1,2



## Handover Documents by WG 3 / TG 3 to PT 4

### ➤ Compilation of NDPs nationally used for pile design

Piles		Rev 2 01.11.2015	Country	NSB	EN 1997-1	Factor Set	Ultimate Load Test	Proof Load Test	Table A.6 Resistance factors - driven				Table A.7 Resistance factors - bored				Table A.8 Resistance factors - CF					
									$\gamma_b$	$\gamma_s$	$\gamma_t$	$\gamma_{st}$	$\gamma_b$	$\gamma_s$	$\gamma_t$	$\gamma_{st}$	$\gamma_b$	$\gamma_s$	$\gamma_t$			
						R1			1,0	1,0	1,0	1,25	1,25	1,0	1,15	1,25	1,1	1,0	1,1			
						R2			1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1			
						R3			1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0			
						R4			1,3	1,3	1,3	1,6	1,6	1,3	1,5	1,6	1,45	1,3	1,4			
GBR	UK		BSI	Chris Raison Confirmed 09 Jun 15	DA1-1(R1)		N		1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0		
							Y		1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	1,0	
							N	N	1,6	1,5	1,6	2,0	2,0	1,6	2,0	2,2	2,0	1,6	2,0			
							N	Y	1,4	1,3	1,4	1,7	1,7	1,4	1,7	1,7	1,7	1,4	1,7			
							Y	N	1,6	1,5	1,6	2,0	2,0	1,6	2,0	2,2	2,0	1,6	2,0			
							Y	Y	1,4	1,3	1,4	1,7	1,7	1,4	1,7	1,7	1,7	1,4	1,7			
DEU	Germany		DIN	Christian Moormann E-mail 09 Jun 15	DA2(R2)				1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1			
									1,4	1,4	1,4	1,5	1,4	1,4	1,4	1,5	1,4	1,4	1,4			
FRA	France		AFNOR	Sebastien Burlon E-mail 08 Jun 15	ELU fond				1,1	1,1	1,1	1,15	1,1	1,1	1,1	1,15	1,1	1,1	1,1			
									1,0	1,0	1,0	1,05	1,0	1,0	1,0	1,05	1,0	1,0	1,0			
					ELU acc																	

# WG 3 / TG 3 „Pile Foundations“

## Handover Documents by WG 3 /TG 3 to PT 4

### ➤ Comparison of NDPs and resulting equivalent FOS for Pile Foundations prepared by Chris Raison

Piles	Assessment Rev 0 08/11/2015 Country	NSB	Combined Factors on Actions				Combined Resistance Factors						Equivalent Lumped Factor of Safety											
			Safety Class	Reduction factor $\xi$	Factor $K_{FI}$	Actions 80% permanent 20% variable 20% permanent 80% variable	Factor $K_{FI}$	Model Factor	Driven 20% shaft end bearing 80% shaft end bearing		Bored 20% shaft end bearing 80% shaft end bearing		CFA 20% shaft end bearing 80% shaft end bearing		Driven 20% shaft end bearing 80% shaft end bearing				Bored 20% shaft end bearing 80% shaft end bearing				CFA 20% shaft end bearing 80% shaft end bearing	
			1.0	1.0	1.0	1.38 1.47 1.38 1.47 1.38 1.47 1.06 1.24	1.0	1.0	1.00 1.00 1.10 1.10 1.00 1.00 1.30 1.30	1.20 1.05 1.10 1.10 1.00 1.00 1.54 1.36	1.08 1.02 1.10 1.10 1.00 1.00 1.42 1.33	1.38 1.38 1.47 1.47 1.52 1.52 1.62 1.62 1.38 1.38 1.47 1.47 1.38 1.38 1.61 1.61	1.66 1.45 1.76 1.54 1.52 1.52 1.62 1.62 1.38 1.38 1.47 1.47 1.63 1.44 1.91 1.69	1.49 1.41 1.59 1.50 1.52 1.52 1.62 1.62 1.38 1.38 1.47 1.47 1.51 1.41 1.76 1.65										
GBR	UK	BSI	1.0	1.0	1.0	1.38 1.47 1.38 1.47 1.06 1.24 1.06 1.24	1.0	1.40	1.40 1.40 1.20 1.20 2.21 2.13 1.66 1.58	1.40 1.40 1.20 1.20 2.69 2.35 1.97 1.75	1.40 1.40 1.20 1.20 2.69 2.35 1.97 1.75	1.93 1.93 2.06 2.06 1.66 1.66 1.76 1.76 2.34 2.26 2.74 2.64 1.76 1.68 2.05 1.96	1.93 1.93 2.06 2.06 1.66 1.66 1.76 1.76 2.85 2.49 3.33 2.92 2.09 1.86 2.44 2.17	1.93 1.93 2.06 2.06 1.66 1.66 1.76 1.76 2.85 2.49 3.33 2.92 2.09 1.86 2.44 2.17										
DEU	Germany	DIN	1.0	1.0	1.0	1.38 1.47 1.38 1.47	1.0	1.0	1.10 1.10 1.40 1.40	1.10 1.10 1.40 1.40	1.10 1.10 1.40 1.40	1.52 1.52 1.62 1.62 1.93 1.93 2.06 2.06	1.52 1.52 1.62 1.62 1.93 1.93 2.06 2.06	1.52 1.52 1.62 1.62 1.93 1.93 2.06 2.06										
FRA	France	AFNOR	1.0	1.0	1.0	1.38 1.47 1.38 1.47 1.38 1.47 1.38 1.47	1.0	1.15	1.27 1.27 1.30 1.30 1.15 1.15 1.18 1.18	1.27 1.27 1.30 1.30 1.15 1.15 1.18 1.18	1.27 1.27 1.30 1.30 1.15 1.15 1.18 1.18	1.75 1.75 1.86 1.86 1.79 1.79 1.91 1.91 1.59 1.59 1.69 1.69 1.63 1.63 1.73 1.73	1.75 1.75 1.86 1.86 1.79 1.79 1.91 1.91 1.59 1.59 1.69 1.69 1.63 1.63 1.73 1.73	1.75 1.75 1.86 1.86 1.79 1.79 1.91 1.91 1.59 1.59 1.69 1.69 1.63 1.63 1.73 1.73										
POL	Poland	PKN	1.0	1.0	1.0	1.38 1.47	1.0	1.0	1.10 1.10	1.10 1.10	1.10 1.10	1.52 1.52 1.62 1.62	1.52 1.52 1.62 1.62	1.52 1.52 1.62 1.62										